



Docket No. 5000-5131

11F4  
\$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Satoshi NAKAGAWA, et al.

Group Art Unit: 2879

Serial No.: 10/692,831

Examiner: Matthew P. HODGES

Filed: October 24, 2003

For: ORGANIC ELECTROLUMINESCENT DEVICE

**CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

1. Request To Correct Inventorship Under 37 C.F.R. §1.48(a) (2 pages, in duplicate);
2. Declaration of T. IJIMA Pursuant to Petition to Correct Inventorship (1 page);
3. Declaration of K. KAWASUMI Pursuant to Petition to Correct Inventorship (1 page);
4. Declaration and Power of Attorney (5 pages);
5. Assignment Recordation Form Cover Sheet (1 page, in duplicate);
6. Executed Assignment (1 page);
7. Statement of Assignee Under 37 C.F.R. §3.73(b) (1 page);
8. Return Receipt Postcard;

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: December 28, 2005

By: Steven F. Meyer  
Steven F. Meyer  
Registration No. 35,613

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
3 World Financial Center  
New York, NY 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



Docket No. 5000-5131

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Satoshi NAKAGAWA, et al.

Group Art Unit: 2879

Serial No.: 10/692,831

Examiner: Matthew P. HODGES

Filed: October 24, 2003

For: ORGANIC ELECTROLUMINESCENT DEVICE

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.48(a)(1), Applicants hereby request to amend the above-referenced application to correct the inventorship by adding as inventors Toshiki Iijima and Kazuhito KAWASUMI who were omitted through error and without any deceptive intention.

Included herewith are:

1. A statement as required in 37 C.F.R. § 1.48(a)(2) by Toshiki Iijima that the error in inventorship occurred without deceptive intention on his part;
2. A statement as required in 37 C.F.R. § 1.48(a)(2) by Kazuhito KAWASUMI that the error in inventorship occurred without deceptive intention on his part;
3. A declaration by all the inventors as required by 37 C.F.R. § 1.63 pursuant to 37 C.F.R. § 1.48(a)(3);

01/04/2006 FFANAI2 00000018 134500 10692831

01 FC:1464 130.00 DA

4. An Assignment executed by Toshiki Iijima and Kazuhito KAWASUMI assigning their rights in the above-referenced application to Kabushiki Kaisha Toyota Jidoshokki; and

5. Written consent of the assignee, Kabushiki Kaisha Toyota Jidoshokki, to the addition of the inventors, Toshiki Iijima and Kazuhito KAWASUMI, as co-inventors of the invention of the above-identified patent application as required by 37 C.F.R. § 1.48(a)(5).

Applicants respectfully submit that the requirements of 37 C.F.R. § 1.48 for correcting the inventorship of the present application have been satisfied, and request favorable consideration of this Petition.

Please charge the fee of \$130.00 required for this paper to Deposit Account No. 13-4500, Order No. 5000-5131. A DUPLICATE COPY OF THIS PETITION IS ENCLOSED.

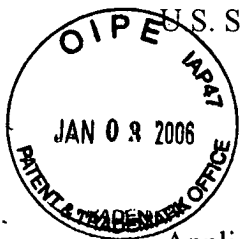
Please charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5131. A DUPLICATE COPY OF THIS PETITION IS ENCLOSED.

Respectfully submitted,

Date: December 28, 2005

By:   
Steven F. Meyer  
Reg. No. 35,613

Mailing Address:  
MORGAN & FINNEGAN L.L.P.  
3 World Financial Center  
New York, New York 10281-2101  
(212) 415-8700 Telephone  
(212) 415-8701 Facsimile



U.S. Serial No. 10/692,831

DOCKET NO. 5000-5131

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): NAKAGAWA et al.

Serial No.: 10/692,831

Art Unit: 2879

Filed: October 24, 2003

Examiner: Matthew P. HODGES

Title: ORGANIC ELECTROLUMINESCENT DEVICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**DECLARATION OF INVENTOR PURSUANT TO  
PETITION TO CORRECT INVENTORSHIP UNDER RULE 1.48(a)**

I, TOSHIKI IJIMA of 2-1, Toyoda-cho, Kariya-shi, Aich-ken, Japan, declare that it was an error not to name me as an inventor of one or more inventions claimed in the above-referenced application, and that the error in failing to name me as an inventor arose without any deceptive intention on my part.

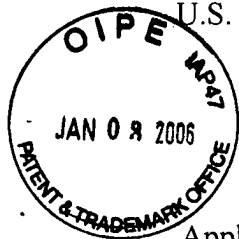
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: Dec. 1, 2005

By: Toshiki Iijima  
Toshiki Iijima

U.S. Serial No. 10/692,831

DOCKET NO. 5000-5131



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): NAKAGAWA et al.

Serial No.: 10/692,831

Art Unit: 2879

Filed: October 24, 2003

Examiner: Matthew P. HODGES

Title: ORGANIC ELECTROLUMINESCENT DEVICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

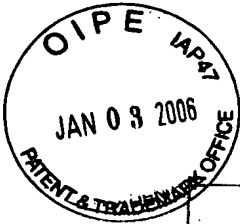
**DECLARATION OF INVENTOR PURSUANT TO  
PETITION TO CORRECT INVENTORSHIP UNDER RULE 1.48(a)**

I, KAZUHITO KAWASUMI of 2-1, Toyoda-cho, Kariya-shi, Aich-ken, Japan, declare that it was an error not to name me as an inventor of one or more inventions claimed in the above-referenced application, and that the error in failing to name me as an inventor arose without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or Imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: Dec. 1. 2005

By: Kazuhiro Kawasumi  
Kazuhiro Kawasumi



Approved for use through 10/31/02. OMB 0651-0032  
 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Declaration and Power of Attorney for Patent Application

### 特許出願宣言書及び委任状

### Japanese Language Declaration

### 日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### ORGANIC ELECTROLUMINESCENT DEVICE

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐ \_\_\_\_\_ の日に出版され、  
 この出願の米国出願番号またはPCT国際出願番号は、  
 \_\_\_\_\_ であり、且つ  
 \_\_\_\_\_ の日に補正された出願（該当する場合）

☐ was filed on \_\_\_\_\_  
 as United States Application Number or  
 PCT International Application Number  
 \_\_\_\_\_ and was amended on  
 \_\_\_\_\_ (if applicable)

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに宣誓する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the need of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.



Y/R 5000-5131

Approved for use through 10/31/02. OMIS 0651-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

PTO/SB/106 (5-00)

### Japanese Language Declaration (日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)(4)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(4) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed  
優先権主張なし

Pat. Appln. No. 2002-309278 Japan

(Number)  
(番号)(Country)  
(国名)

24/10/2002

(Day/Month/Year Filed)  
(出願日/月/年)☐

Pat. Appln. No. 2003-202145 Japan

(Number)  
(番号)(Country)  
(国名)

28/07/2003

(Day/Month/Year Filed)  
(出願日/月/年)☐

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)(Filing Date)  
(出願日)(Application No.)  
(出願番号)(Filing Date)  
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、造邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.)  
(出願番号)(Filing Date)  
(出願日)(Status: Patented, Pending, Abandoned)  
(状況: 特許許可、係属中、放棄)(Application No.)  
(出願番号)(Filing Date)  
(出願日)(Status: Patented, Pending, Abandoned)  
(状況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により懲罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Y/R 5000-5131

Approved for use through 10/31/02. OMB 0651-0032  
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### Japanese Language Declaration (日本語宣言書)

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁理士及び/または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

### Practitioners associated with the Customer Number 27123

書類送付先

Send Correspondence to:  
Steven F. Meyer  
Morgan & Finnegan, L.L.P.  
Three World Financial Center  
New York, NY 10281-2101

直接電話連絡先：(氏名及び電話番号)

Direct Telephone Calls to: (name and telephone number)  
Steven F. Meyer  
(212) 415-8537

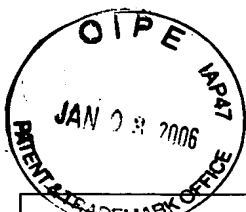




Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOSB:106 (5-00)  
Approved for use through 10/31/02. OMB 0631-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

唯一または第一発明者氏名	Full name of sole or first inventor
発明者の署名	Satoshi NAKAGAWA
日付	Signature Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post Office Address
	c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI
	2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan
第二共同発明者がある場合、その氏名	Full name of second joint inventor, if any
第二共同発明者の署名	Kazuyoshi TAKEUCHI
日付	Signature Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post Office Address
	c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI
	2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan
(第三以下の共同発明者についても同様に記載し、署名をすること)	(Supply similar information and signature for third and subsequent joint inventors.)



Y/R 5000-5131

第三共同発明者がある場合、その氏名		Full name of third joint inventor, if any	
Yoshiaki NAGARA			
第三共同発明者の署名	日付	Third inventor's signature	Date
		Yoshiaki NAGARA	Dec. 8. 2005
住所	Residence		
	Kariya-shi, Japan		
国籍	Citizenship		
	Japan		
郵便の宛先	Post Office Address		
	c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI		
	2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan		
第四共同発明者がある場合、その氏名		Full name of fourth joint inventor, if any	
Toshiki IJIMA			
第四共同発明者の署名	日付	Fourth inventor's signature	Date
		Toshiki Ijima	Dec. 8. 2005
住所	Residence		
	Kariya-shi, Japan		
国籍	Citizenship		
	Japan		
郵便の宛先	Post Office Address		
	c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI		
	2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan		
第五共同発明者がある場合、その氏名		Full name of fifth joint inventor, if any	
Kazuhito KAWASUMI			
第五共同発明者の署名	日付	Fifth inventor's signature	Date
		Kazuhito Kawasumi	Dec. 7. 2005
住所	Residence		
	Kariya-shi, Japan		
国籍	Citizenship		
	Japan		
郵便の宛先	Post Office Address		
	c/o KABUSHIKI KAISHA TOYOTA JIDOSHOKKI		
	2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan		
第六共同発明者がある場合、その氏名		Full name of sixth joint inventor, if any	
第六共同発明者の署名	日付	Sixth inventor's signature	Date
住所	Residence		
国籍	Citizenship		
郵便の宛先	Post Office Address		



Attorney Docket No. 5000-5131

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: NAKAGAWA et al.

Application No./Patent No.: 10/692,831

Filing Date: October 24, 2003

Entitled: ORGANIC ELECTROLUMINESCENT DEVICE

Kabushiki Kaisha Toyota Jidoshokki, a  
(Name of Assignee  
university,

Corporation  
(Type of Assignee, e.g., corporation, partnership,  
government Agency, etc.)

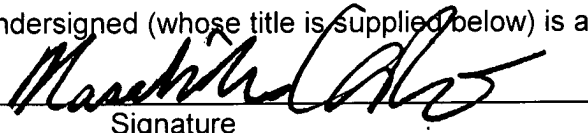
states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. A first assignment for three of the five inventors was recorded in the United States Patent and Trademark Office at Reel 014937 Frame 0776, and a copy of a second assignment for the remaining two inventors is attached.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignment or other documents in the chain of title are attached. [Note: A separate copy (i.e., a true copy of the original assignment document(s) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

Masahiko KAKO

December 16, 2005

Date

0566-22-2511

Printed or Typed Name

Telephone Number

General Manager of Intellectual  
Property Department

Title